

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**BOX PATENT APPLICATION**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney's Docket: 70-00-005

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Gavin A. Grounds

For: METHOD AND APPARATUS FOR PROCESSING  
FINANCIAL TRANSACTIONS

\_\_\_\_ Pursuant to 37 C.F.R. § 1.213(a), Applicants respectfully request that the above-identified patent application not be published under 35 U.S.C. § 122(b). Applicants hereby certify that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.


Enclosed are:

- (1) 37 pages of Specification, including 54 Claims and Abstract;
- (2) 3 pages of Executed Declaration and Power of Attorney;
- (3) An Assignment (1 page) of the invention to Electronic Data Systems Corporation is attached;
- (4) 1 page of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with the Assignment with the filing fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) to be charged to Deposit Account No. 05-0765 of Electronic Data Systems Corporation;
- (5) 4 pages of Formal Drawings;
- (6) 1 page Certificate of Mailing by Express Mail;
- (7) This Transmittal Form (2 pages) in duplicate;
- (8) 1 page Bibliographic Data Sheet; and
- (9) Acknowledgment Postcard.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$710.00
Total Claims	54	20	34	X \$18 =	612.00
Independent Claims	4	3	1	X \$80 =	80.00
TOTAL FILING FEE =					\$1,402.00

Please charge the filing fee of \$1,402.00 or any other fee required or credit any overpayment to Deposit Account No. 05-0765 of Electronic Data Systems Corporation. **A duplicate copy of this sheet is enclosed.**

BAKER BOTTS L.L.P.  
Attorneys for Applicant

  
William R. Borchers  
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Date: March 6, 2001

CORRESPONDENCE ADDRESS:

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ATTORNEY'S DOCKET:  
70-00-005

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gavin A. Grounds  
Date Filed: March 6, 2001  
Title: METHOD AND APPARATUS FOR PROCESSING  
FINANCIAL TRANSACTIONS

**BOX PATENT APPLICATION**

Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (37 pages, including Specification, 54 Claims, and Abstract), 4 sheets of formal Drawings, Fee Transmittal (2 pages) in duplicate, Declaration and Power of Attorney (3 pages), Assignment and Assignment Cover Sheet (2 pages), Bibliographic Data Sheet (1 page), this Certificate of Mailing, and an acknowledgment postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 6<sup>th</sup> day of March 2001 and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. EL501024673US  
Attorney's Docket: 70-00-005 (014208.1388)

